

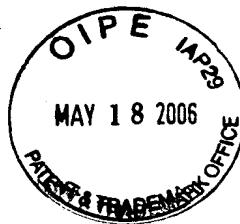


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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/586,625	06/02/2000	Carlos F. Barbas III	22908-1227B	6568

20985 7590 05/11/2006  
**FISH & RICHARDSON, PC**  
P.O. BOX 1022  
MINNEAPOLIS, MN 55440-1022



EXAMINER	
SHAFER, SHULAMITH H	
ART UNIT	PAPER NUMBER
1647	

DATE MAILED: 05/11/2006

Please find below and/or attached an Office communication concerning this application or proceeding.



## Notice of Non-Compliant Amendment (37 CFR 1.121)

Application No.	Applicant(s)
09/586,625	BARBAS ET AL.
Examiner	Art Unit
Shulamith H. Shafer, Ph.D.	1647

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

The amendment document filed on 28 February 2006 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required.

THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- 1. Amendments to the specification:
  - A. Amended paragraph(s) do not include markings.
  - B. New paragraph(s) should not be underlined.
  - C. Other \_\_\_\_\_.
- 2. Abstract:
  - A. Not presented on a separate sheet. 37 CFR 1.72.
  - B. Other \_\_\_\_\_.
- 3. Amendments to the drawings:
  - A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).
  - B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.
  - C. Other \_\_\_\_\_.
- 4. Amendments to the claims:
  - A. A complete listing of all of the claims is not present.
  - B. The listing of claims does not include the text of all pending claims (including withdrawn claims).
  - C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).
  - D. The claims of this amendment paper have not been presented in ascending numerical order.
  - E. Other: See Continuation Sheet.
- 5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4):

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714.

### TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:

1. Applicant is given **no new time period** if the non-compliant amendment is an after-final amendment or an amendment filed after allowance. If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted.
2. Applicant is given **one month**, or thirty (30) days, whichever is longer, from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a Quayle action. If any of above boxes 1. to 4. are checked, the correction required is only the **corrected section** of the non-compliant amendment in compliance with 37 CFR 1.121.

**Extensions of time** are available under 37 CFR 1.136(a) **only** if the non-compliant amendment is a non-final amendment or an amendment filed in response to a Quayle action.

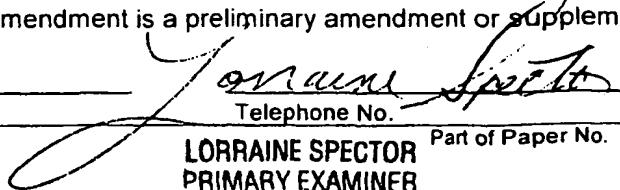
**Failure to timely respond** to this notice will result in:

**Abandonment** of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a Quayle action; or

**Non-entry** of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

Legal Instruments Examiner (LIE), if applicable  
Patent and Trademark Office

Telephone No. \_\_\_\_\_

  
LORRAINE SPECTOR  
PRIMARY EXAMINER

Part of Paper No. 20060502



Continuation Sheet 4(e) Other: It is unclear if the claim identifier status of claim 8, as presented, is correct. There is a solo bracket present in the middle of claim 8, the purpose of which is unclear.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Carlos F. Barbas III et al. Art Unit : 1646  
Serial No. : 09/586,625 Examiner : Shafer, S.  
Filed : June 2, 2000 Conf. No. : 6568  
Cust. No. : 20985  
Title : LIGAND ACTIVATED TRANSCRIPTIONAL REGULATOR PROTEINS

**Mail Stop Amendment**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

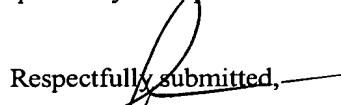
Dear Sir:

Transmitted herewith are a Response to Notice of Non-Compliant Amendment, mailed May 11, 2006, and to the non-final Office Action, mailed December 5, 2005, a copy of the Notice of Non-Compliant Amendment, and a return postcard in connection with the above-captioned patent application. Because this Response is filed within the one month due date, no fee should be due. However, if it is determined that a fee is due, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1050 for the appropriate fee as stated below. If a Petition for extension of time is needed, this paper is to be considered such Petition.



The Commissioner is hereby authorized to charge the fee for the extension of time and any other fee that may be due in connection with this and the attached papers or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

  
Stephanie Seidman  
Reg. No. 33,779

Attorney Docket No. 17083-003002/1227B

**Address all correspondence to:**

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**  
"Express Mail" Mailing Label Number EV 471537473 US  
Date of Deposit May 18, 2006  
I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

  
Stephanie Seidman